

This brochure supplement provides information about Gareth Evans that supplements the BRAINVEST WEALTH MANAGEMENT LLC brochure. You should have received a copy of that brochure. Please contact Gareth Evans if you did not receive BRAINVEST WEALTH MANAGEMENT LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Gareth Evans is also available on the SEC's website



BRAINVEST WEALTH MANAGEMENT LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

GARETH JOHN VAUGHAN EVAN

Personal CRD Number: 2535299

Investment Adviser Representative

BRAINVEST WEALTH MANAGEMENT LLC

1221 Brickell Ave., Suite 1750

Miami, FL 33131

(305) 224-8834

gareth@brainvest.com

UPDATED: 08/01/2025

Item 2: Educational Background and Business Experience

Name: Gareth John Vaughan Evan

DOB: 1970

Educational Background and Professional Designations:

Education:

- High School – King’s School Canterbury (1983–1988)
- LLB, Bachelor of Law – King’s College London (1988–1991)

Business Background:

- 05/2025 – Present: Investment Adviser Representative
Brainvest Wealth Management LLC – Miami, FL
- 07/2014 – Present: Registered Representative
Saxony Securities Inc. – St. Louis, MO
- 09/2014 – 05/2025: Business Development
Rose Capital Advisors LLC – Miami, FL
- 02/2013 – 03/2014: Registered Representative
Further Lane Securities L.P. – New York, NY
- 02/2005 – 01/2012: Financial Advisor
Dominick & Dominick LLC – New York, NY
- 08/1996 – 02/2005: Registered Representative
Sands Brothers International Ltd. – New York, NY

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

Item 4: Other Business Activities

Gareth Evans is also a registered representative of Saxony Securities Inc., a FINRA-member broker-dealer. His registration is maintained solely to receive trailing or legacy compensation related to certain private transactions managed by external managers that were executed prior to his affiliation with Brainvest Wealth Management LLC.

He does not engage in any brokerage trading, solicitation, or execution activity through Saxony. This affiliation does not impact his current advisory responsibilities at Brainvest. Although this dual registration may present a potential conflict of interest, Brainvest has adopted policies and procedures designed to monitor and mitigate any such risks. Mr. Evans is required to act in the best interest of clients when providing investment advice.

Clients of Brainvest Wealth Management LLC are under no obligation to use Saxony Securities Inc. or any affiliate in connection with the investment advisory services provided by Mr. Evans. Choosing not to use Saxony will not result in any additional cost or limitation of service by Brainvest Wealth Management LLC.

Item 5: Additional Compensation

Mr. Evans receives ongoing compensation from Saxony Securities Inc. for legacy private transactions managed by external managers that occurred before his employment with Brainvest Wealth Management LLC.

This compensation is not related to the advisory services provided by Brainvest and does not influence portfolio recommendations. Mr. Evans does not receive transaction-based compensation or third-party payments for advice rendered through Brainvest.

Item 6: Supervision

Gareth Evans is supervised by Catalina Rey, Chief Compliance Officer of Brainvest Wealth Management LLC. Ms. Rey is responsible for ensuring that Mr. Evans complies with the firm's Code of Ethics and applicable securities laws and regulations. She can be reached at (305) 224-8834.